



APEX CAPITAL MARKETS LIMITED

OM TOWER, 32, Jawaharlal Nehru Road, 2nd Floor, Suite No. 205, Kolkata - 700 071

Phone : (033) 2226-3680/81, 2226-3753, Fax :91-33-2226-3754

E-mail: ho@lalwaniferroalloys.com ★ Website : www.lalwaniferroalloys.com

CIN- L65999WB1995PLC067302

Date: 21.04.2022

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range,
Murgighata, Dalhousie,
Kolkata 700001

Scrip Code: 011122

Dear Sir(s),

Subject: Reg. 31(1)(b)- Submission of Shareholding Pattern for the Quarter ended 31st March, 2022

Further to the subject, please find enclosed herewith the shareholding pattern for the quarter ended 31st March, 2022.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

For **Apex Capital Markets Limited**

Kamal Kishore Lalwani

Managing Director

DIN: 00064724

Encl: As above

Holding of specified securities

- 1 Name of Listed Entity: APEX CAPITAL MARKETS LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security : [BSE: 10011122]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

31-Mar-22

NA

- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

APEX CAPITAL MARKETS LIMITED

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of (A+B+C)	No. (a)			As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg: X	Class eg: Y									
								(I)	(II)	(III)	(IV)			(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	
(A)	Promoter & Promoter Group	10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.32	0	58.32	0	0	0	0	1749700
(B)	Public	921	1250400	0	0	1250400	41.68	1250400	0	1250400	41.68	0	41.68	0	0	NA	54900	
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0	0.00	0	0	NA	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	0	
	Total	931	3000100	0	0	3000100	100.00	3000100	0	3000100	100.00	0	100.00	0	0	0	1804600	

APEX CAPITAL MARKETS LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of underlying Depository Receipts	Total nos. shares held as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X eg: Y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(VII) = (IV)+(V)+ Nil	(ix)			(x)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)
1) Indian																	
(a) Individuals/Hindu undivided Family			7	706900			706900	706900	706900	23.56		23.56					706900
1 KAMAL KISHORE LALWANI (HUF)		0		228100			228100	228100	228100	7.60		7.60					228100
2 KAMAL KISHORE LALWANI		0		63000			63000	63000	63000	2.10		2.10					63000
3 SNEH LATA LALWANI		0		196400			196400	196400	196400	6.55		6.55					196400
4 SUNDEEP LALWANI		0		43800			43800	43800	43800	1.46		1.46					43800
5 SUNIL KUMAR LALWANI		0		153800			153800	153800	153800	5.13		5.13					153800
6 SUNITA LALWANI		0		15000			15000	15000	15000	0.50		0.50					15000
7 SANJAY KUMAR DHANCHOLIA		0		6800			6800	6800	6800	0.23		0.23					6800
(b) Central Government/ State Government(s)																	
Name (xyz...)																	
Financial Institutions/ Banks																	
(c) Name (xyz...)																	
(d) Body Corporates			3	1042800			1042800	1042800	1042800	34.76		34.76					1042800
1 LALWANI CAPITAL MARKETS LTD		0		502900			502900	502900	502900	16.76		16.76					502900
2 LALWANI FERRO ALLOYA LTD		0		199900			199900	199900	199900	6.66		6.66					199900
3 LALWANI METALLICS PVT LTD		0		340000			340000	340000	340000	11.33		11.33					340000
Sub-Total (A)(1)			10	1749700	0	0	1749700	1749700	0	58.32	0	58.32	0	0	0	0	1749700
2) Foreign							0										
(a) Resident Individuals/ Foreign Individuals							0										
Name (xyz...)							0										
(b) Government							0										
Name (xyz...)							0										
(c) Institutions			0	0			0	0	0	0.00		0.00					0
Name (xyz...)							0										
Foreign Portfolio Investor							0										
Name (xyz...)							0										

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
									No of Voting Rights		Total as a % of (A+B+C)						
									Class eg: X	Class eg: Y				Total			
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	$\frac{(VI)+(V)+(VII)}{Total}$	(viii)	(ix)			(x)	(xi)= (vii)+(x)	(xii)		(xiii)	(xiv)
(e)	Any Other (specify)						0										
	Name (xyz...)						0										
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.3214	0	58.32	0	0	1749700
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

APEX CAPITAL MARKETS LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Table III - Statement showing shareholding pattern of the Public shareholder																		
Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII) As a % of total Shares held (b)	Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)		
									Class eg: X	Class eg: Y	Total							
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)				(x)	(xi) = (vii)+(x)	(xii)		(xiii)	(xiv)
1)	Institutions																	
	(a) Mutual Funds/ Venture Capital Funds		0	0			0	0.00	0	0.00		0.00					NA	0
	(b) Name (xyz...)																NA	
	(c) Alternate Investment Funds																NA	
	(d) Name (xyz...)																NA	
	(e) Foreign Venture Capital Investors																NA	
	(f) Name (xyz...)																NA	
	(g) Foreign Portfolio Investors		0	0			0	0.00	0	0.00		0.00					NA	0
	(h) Financial Institutions/ Banks		0	0			0	0.00	0	0.00		0.00					NA	0
	(i) Name (xyz...)																NA	
	(j) Insurance Companies		0	0			0	0.00	0	0.00		0.00					NA	0
	(k) Provident Funds/ Pension Funds																NA	
	(l) Name (xyz...)																NA	
(m) Any Other (specify)																NA		
	Name (xyz...)																NA	
	Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0	0	NA	0
2)	Central Government/ State Government(s)/ President of India																	
	Name (xyz...)																NA	
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	(a) Non-institutions																NA	
	(b) Individuals -																NA	
	(c) i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		903	173700			173700	5.79	173700		173700	5.79	5.79				NA	-115800
	(d) ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		7	342500			342500	11.42	342500		342500	11.42	11.42				NA	153800
	(e) Name (xyz...)																NA	
	(f) 1 HEMANT JOSHI			41800			41800	1.39	41800		41800	1.39	1.39					
	(g) 2 MANOJ BENGANI			32900			32900	1.10	32900		32900	1.10	1.10					
	(h) NBFCs registered with RBI																NA	
	(i) Name (xyz...)																NA	
	(j) Employee Trusts																NA	
(k) Name (xyz...)																NA		

Table III - Statement showing shareholding pattern of the Public shareholder

APEX CAPITAL MARKETS LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)				(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)
(d)	Overseas Depositories (holding DRs) (balancing figure)																NA		
(e)	Name (xyz...) Body Corporates (India) & Trusts																NA		
			11	734200			734200	24.47	734200		734200	24.47		24.47			NA		
1	SPA TRADE & INVESTMENT PVT LTD			375000			375000	12.50	375000		375000	12.50		12.50			NA		16900
2	YAC TRADING & INVESTMENTS PVT LTD			150000			150000	5.00	150000		150000	5.00		5.00					
3	AMBER CAPITAL MARKETS LTD			70000			70000	2.33	70000		70000	2.33		2.33					
4	POONAM MERCANTILE (P) LTD			50000			50000	1.67	50000		50000	1.67		1.67					
5	MURABROWN TRADING (P) LTD			45000			45000	1.50	45000		45000	1.50		1.50					
(f)	Name (xyz...) Directors		0	0			0	0.00	0		0	0.00		0.00			NA		0
	Name (xyz...)																NA		
	Sub-Total (B)(3)		921	1250400	0	0	1250400	41.68	1250400	0	1250400	41.68	0	41.68	0	0	NA		54900
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		921	1250400	0	0	1250400	41.68	1250400	0	1250400	41.68	0	41.68	0	0	NA		54900

Details of the shareholders acting in Concert including their Shareholding (No. and %):

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

APEX CAPITAL MARKETS LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category	Category of shareholder	PAN (II)	Nos. of shareholder s (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depositor y Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateriali zed form (XIV)
									Class eg: X	Class eg: Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)	(XIII)		(XIV)	
1)	Custodian/DR Holder														NA			
	Name of DR Holder (if available)																	
	(a) abc,...																	
	(ii) efg...																	
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula																NA	
	(a) Name (....)																NA	
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0										NA	0

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,